

FORM PTO-1449  INFORMATION DISCLOSURE STATEMENT		ATTY. DOCKET NO. 0666.023000E/TGD/ALF	APPLICATION NO. 09/474,127
		APPLICANT Okada et al.	
		FILING DATE December 29, 1999	GROUP 3682
O I P E J C O U N T R Y : PATENT DOCUMENTS			

O I P E J C O U N T R Y :  
MAR 20 2000  
PATENT & TRADEMARK OFFICE

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
AA1	1,136,363	04/1915	Pepper			
AB1	1,227,055	05/1917	Kellogg			
AC1	1,266,606	05/1918	Manly			
AD1	2,480,069	01/1949	Wright			
AE1	3,131,580	05/1964	Forster			
AF1	3,209,538	10/1965	Kuze			
AG1	3,213,616	10/1965	Reinke			
AH1	3,279,172	10/1966	Kudo et al.			
AI1	3,360,933	01/1968	Swanson et al.			
AJ1	3,411,296	11/1968	Peterson			
AK1	3,430,438	03/1969	Weiss			

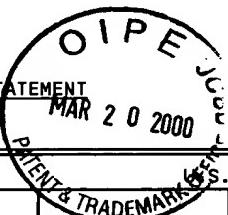
FOREIGN PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
AL1	955,691	01/1950	France			Yes No
AM1	1075915	02/1960	Germany			Yes No
AN1	A 61-266,868	1986	Japan			Yes No
A01	32 39 223	06/1984	Germany			Yes No
AP1	439,445	04/1912	France			Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	1	"Series 2600 Transaxles," Tecumseh Product Information, one page.
AS	1	"U.S. Advances in Tracked Armoured Vehicle Transmissions," International Defense Review, March, 1978, pp. 396-400.
AT	1	"Characteristics - Transmission. Crossdrive XMI," General Electric Product Information.

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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA2	3,486,335	12/1969	Kern et al.			
	AB2	3,672,167	06/1972	Griesenbrock			
	AC2	3,680,312	08/1972	Forster			
	AD2	3,687,212	08/1972	Forster			
	AE2	3,751,924	08/1973	Brown et al.			
	AF2	3,808,814	05/1974	Macy, II			
	AG2	3,864,916	02/1975	Maistrelli et al.			
	AH2	3,898,807	08/1975	Habiger			
	AI2	3,908,376	09/1975	Steiger			
	AJ2	3,944,010	03/1976	Winter et al.			
	AK2	4,145,883	03/1979	Forster			

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL2	62-44198	03/1987	Japan			Yes No
	AM2	62-101945	06/1987	Japan			Yes No
	AN2	64-4520	01/1989	Japan			Yes No
	AO2	1281094	07/1972	United Kingdom			Yes No
	AP2						Yes No

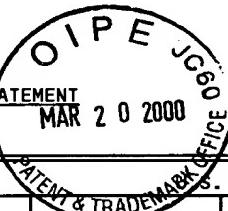
## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	2	Stuhr, H., "Anordnungen Hydrostatischer Getriebe in Fahrzeugantrieben," ATZ Automobiltechnische Zeitschrift, No. 1, January 1968, pp. 6-9.
AS	2	"Light Duty Family of Transmissions" Eaton Product Information, P. 2.
AT	2	"Peerless Series 2500, 1300, 1310 'Hydrostatic' 2-Speed Gear Reduction and Differential," Tecumseh Products Company Production Information, two pages.

EXAMINER <i>DePense</i>	DATE CONSIDERED <i>3/31/03</i>
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U.S. PATENT DOCUMENTS			



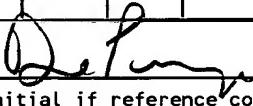
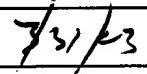
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
AA	4,262,768 ✓	04/1981	Itatani et al.	180	242	
AB3	4,495,768	01/1985	Valavaara			
AC3	4,616,478	10/1986	Jensen			
AD3	4,627,237	12/1986	Hutson			
AE3	4,686,829	08/1987	Thoma et al.			
AF3	4,726,256	02/1988	von Kaler et al.			
AG3	4,756,208	07/1988	Hayashi et al.			
AH3	4,784,013	11/1988	Yamaoka et al.			
AI3	4,843,818	07/1989	Thoma et al.			
AJ3	4,856,264	08/1989	Nishimura et al.			
AK	4,870,820 ✓	10/1989	Nemoto	60	487	

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL3					Yes No
	AM3					Yes No
	AN3					Yes No
	AO3					Yes No
	AP3					Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	3	"Kanzaki Transaxle Model K100, K200," Kanzaki Product Information, one page.
	AS	3	
	AT	3	

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			U.S. & FOREIGN PATENT DOCUMENTS	

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
AA4	4,891,943	01/1990	Okada			
AB4	4,893,524	01/1990	Ohashi et al.			
AC4	4,896,506	01/1990	Shivvers et al.	60	487	
AD4	4,899,541	02/1990	Okada et al.			
AE4	4,903,545	02/1990	Louis et al.			
AF4	4,905,472	03/1990	Okada			
AG4	4,914,907	04/1990	Okada			
AH4	4,918,918	09/1990	Miki et al.			
AI4	4,922,787	05/1990	Fujisaki et al.			
AJ4	4,932,209	06/1990	Okada et al.			
AK4	4,953,426	09/1990	Johnson			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
AL4						Yes No
AM4						Yes No
AN4						Yes No
AO4						Yes No
AP4						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	4	
AS	4	
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PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA5	4,962,675	10/1990	Aui et al.			
	AB5	4,979,583	12/1990	Thoma et al.			
	AC5	4,986,073	01/1991	Okada			
	AD5	5,031,403	07/1991	Okada			
	AE5	5,156,576	10/1992	Johnson			
	AF5	5,182,966	02/1993	von Kaler et al.			
	AG5	5,201,692	04/1993	Johnson et al.			
	AH5	5,211,077	05/1993	Louis et al.			
	AI5	5,218,886	06/1993	Louis et al.			
	AJ5	5,259,194	11/1993	Okada			
	AK5	5,289,738	03/1994	Szulzewski			

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL5						Yes No
	AM5						Yes No
	AN5						Yes No
	AO5						Yes No
	AP5						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	5	
	AS	5	
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## PARENT &amp; TRADEMARKS. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
AA6	5,311,740	05/1994	Shiba			
AB6	5,335,496	08/1994	Azuma et al.			
AC6	5,339,631	08/1994	Ohashi			
AD6	5,377,487	01/1995	Azuma et al.			
AE6	5,456,068	10/1995	Ishii et al.			
AF6	5,473,964	12/1995	Okada et al.			
AG6	5,495,712	03/1996	Yano et al.			
AH6	5,505,279	04/1996	Louis et al.			
AI6	5,513,717	05/1996	Louis et al.			
AJ6	5,542,494	08/1996	Louis et al.			
AK6	5,577,572	11/1996	Johnson			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
AL6						Yes No
AM6						Yes No
AN6						Yes No
A06						Yes No
AP6						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

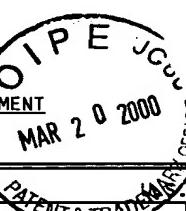
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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
AA7	5,593,000	01/1997	Johnson			
AB7	5,626,204	05/1997	Johnson			
AC7	5,634,526	06/1997	Johnson			
AD7	5,694,816 ✓	12/1997	Okada et al.			
AE7	5,752,417 ✓	05/1998	Okada et al.			
AF7	5,755,098 ✓	05/1998	Irikura			
AG7						
AH7						
AI7						
AJ7						
AK7						

## FOREIGN PATENT DOCUMENTS

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AL7						Yes No
AM7						Yes No
AN7						Yes No
AO7						Yes No
AP7						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	Z	
AS	Z	
AT	Z	

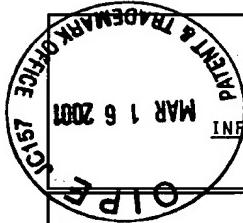
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0666.02300EAPPLICATION NO.  
09/474,127

## APPLICANT

Okada et al.

FILING DATE  
December 29, 1999GROUP  
3682

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
AA7						
AB7						
AC7						
AD7						
AE7						
AF7						
AG7	3,424,032	01/1969	Ritter			
AH7	4,862,767	09/1989	Hauser			
AI7	5,802,931	09/1998	Louis			
AJ7	5,921,151	07/1999	Louis et al.			
AK7						

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
AL7						Yes No
AM7						Yes No
AN7						Yes No
AO7						Yes No
AP7						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

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